

UCC CODE: \_\_\_\_\_ NAME: \_\_\_\_\_ REQUEST DATE: \_\_\_\_\_



## **FAIR INTERMEDIATE INVESTMENT PVT. LTD.**

### **Corporate Member:**

National Stock Exchange of India Ltd (NSE)  
Bombay Stock Exchange Ltd (BSE)  
Central Depository Services India Ltd (CDSL)  
Multi Commodity Exchange of India Limited (MCX)

#### **COMPLIANCE OFFICER DETAILS**

Name: Mrs. Mansi Nagrath  
Tel No. : 0522-4052806 Email ID: [compliance@fairinvest.co.in](mailto:compliance@fairinvest.co.in)

#### **MANAGING DIRECTOR/CEO DETAILS**

Name: Mr. Mahesh Mittal  
Phone No. 0522-4052855, Email ID: [compliance@fairinvest.co.in](mailto:compliance@fairinvest.co.in)

MCX (Multi Commodity Exchange of India Limited)

SEBI Registration No.

Registration Date

Member ID: 57540

Commodity Future & Option Segment : INZ000185032

14/10/2025

#### **Regd. & Corporate Office Address:**

2nd Floor, Shukla Palace, Sapru Marg,  
Lucknow – 226 001

Telephone: 0522-4052800, 4052803, 4052806, 4052813, 4052823, 4052826

Visit us at: [www.fairinvest.co.in](http://www.fairinvest.co.in), e-mail: [helpdesk@fairinvest.co.in](mailto:helpdesk@fairinvest.co.in)

#### **GRIEVANCE / OTHER ISSUES & COMPLAINTS**

For any grievance/dispute please contact FAIR INTERMEDIATE INVESTMENT PVT. LTD. at our email id- [helpdesk@fairinvest.co.in](mailto:helpdesk@fairinvest.co.in) & phone no. 0522-4052813. In case not satisfied with the response, please contact the concerned exchanges (NSE) [ignse@nse.co.in](mailto:ignse@nse.co.in) Contact No. 022-26598100 Toll Free No. 18002660050 (Option-5) (BSE) [is@bseindia.com](mailto:is@bseindia.com) / [iscdelhi@bseindia.com](mailto:iscdelhi@bseindia.com) Contact No. 022-22728517/8569 & Depository (CDSL) [complaints@cdslindia.com](mailto:complaints@cdslindia.com) Contact No. 022-22723333. Toll Free No. 18002005533. (MCX) [grievance@mcxindia.com](mailto:grievance@mcxindia.com) Contact No. 022-66494070 you can also lodge your grievances with SEBI <http://scores.gov.in> . for any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800227575 & 18002667575

#### **RISK DISCLOSURES ON DERIVATIVES**

- 9 out of 10 individual traders in equity Futures and Options Segment, incurred net losses
- On an average, loss makers registered net trading loss close to Rs.50000/-
- Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
- Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

1. I/We are interested to trade into commodity options and hereby accord my/our consent to trading in commodity options.
2. I/We have gone through the additional risk disclosure document and have appraised myself/ourselves with the risk of trading in commodity options.
3. This consent is valid till I/We revoke the same. I/We understand that I/We have right to withdraw the same at any point of time.

### **Multi Commodity Exchange of India Limited (MCX) Commodity Segment Activation Request**

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS  
CONTENTS & ENSURE ALL THE DETAILS PROVIDED ARE CORRECT.

**FIPL**

## Commodity Derivatives Segment Activation Request Form

### Formalities/Requirements

These are the necessary steps to activate the commodity derivatives segment in your existing trading account:

1. **Request for Segment Activation:** The client needs to submit an activation request to us to activate the MCX Commodity Segment.
2. **Proof of Income:** Since the commodity derivatives segment (futures and options) is a derivatives segment, submitting proof of income is mandatory as per SEBI guidelines. This is to ensure that the client meets the minimum financial criteria. We are required to categorize the client's financial capacity.
3. **Commodity Trading Classification (VCP/Farmer/Other):** Clients are required to specify their classification in the commodity market, which typically includes:
4. **Acceptance of Documents:** The client must formally acknowledge and accept the terms and conditions, including: Risk Disclosure Document (RDD) for Derivatives, The brokerage/tariff structure for the Commodity Segment & The Rights and Obligations document specific to the Commodity Derivatives Segment.
5. **KYC/Account Update:** It is mandatory for us to verify and confirm that all of the client's necessary KYC details, such as contact information and bank details are up-to-date. This process can now also be completed online on our platform. For existing clients, this involves an OTP/eSign-based process, and you will need to have a mobile number linked to your Aadhaar.

### Additional Documents required in case of trading in derivatives segment

#### Income Proof Submitted (MANDATORY - Please ✓ tick ANY ONE):

- ☐ Copy of Latest ITR Acknowledgement (Last Financial Year)
- ☐ Copy of Annual Accounts / Net-Worth Certificate by CA (Last Financial Year)
- ☐ Bank Account Statement for the last 6 months (Last Six Month)
- ☐ Copy of Demat Account Holding Statement (Valuation proof attached)
- ☐ Latest Salary Slip / Form 16- in case of salary income (Last Financial Year)
- ☐ Any other relevant documents substantiating ownership of assets  
(Please mention here) \_\_\_\_\_
- ☐ Self declaration with relevant supporting documents  
(Please mention here) \_\_\_\_\_

To,  
**FAIR INTERMEDIATE INVESTMENT PVT. LTD.**  
2ND Floor Shukla Palace Sapru Marg,  
Lucknow 226 001

Date: [DD/MM/YYYY]

**Sub: Request to activate the existing trading account in the commodity derivatives segment**

**Existing Client UCC Code:** \_\_\_\_\_ **PAN No.** \_\_\_\_\_

**Dear Sir/Madam,**

- I/We have a trading account with FAIR INTERMEDIATE INVESTMENT PVT. LTD. ("FIPL") and are interested in trading in the MCX Commodity Derivatives Segment, which includes Futures and Options trading. I/We hereby consent to FIPL registered & enabling our account for trading in Commodity Derivatives on MCX (including Futures and Options trading as permitted).
- I/We wish to avail the privilege of trading in the MCX Commodity segment and accept all the terms and conditions/rights and obligations as stated on your website, and I/We authorize you to use the KYC details provided in the account opening form or at any time thereafter. I/We are also submitting the supporting documents mentioned above.

Sign here: 

\_\_\_\_\_

**FIIPL**

## Commodity Derivatives Segment Activation Request Form

- I/We understand that commodity derivatives segment shall be enabled by FIIPL on the basis of the KYC/KRA/CKYC and other documents including Power of Attorney already provided by me/us earlier at the time of opening of the trading account for equity and equity derivatives securities market (including futures and options trading to the extent permissible) ("AOF"). This is in compliance with the SEBI circular dated September 21, 2017 on "Integration of broking activities in Equity Markets and Commodity Derivatives Markets under single entity.
- I/We further understand and acknowledge that the KYC, mandatory documents and voluntary documents like power of attorney, running account authorisation, etc. where ever applicable, executed at the time of registering me/us or thereafter as a client under the client code, applies mutatis mutandis to trade(s) in MCX(in all segments). In case of any changes therein, I undertake to update the same immediately.
- I/We understand that my client code will remain the same & all trades in MCX (in all segments) will be done using the same client code.
- I/We understand that the (Service Provider) shall, in no event be liable to FIIPL or me/us or to any third party for any loss, damage, loss of profits or for any other consequential damages, however caused and on any theory of liability.
- I/We understand that FIIPL shall, in no event be liable to me/us, in no event or to any third party for any loss, damage, loss of profits or for any other consequential damages, however caused and on any theory of liability.
- I hereby instruct FIIPL to provide the following communications through the medium of short messages services and / or telephone calls on my registered phone number(s):

(A) Market related alerts;

(B) Offers or subscription to new products / services from time to time;

(C) Account and trading related information and other notifications pursuant to the services provided under the captioned agreement;

(D) Information relating to investment products and services; (E) Any other service to aide in wealth creation process.

### I/we confirm and declare the following:

- (i). (a) Currently, FIIPL sends Electronic Contract Notes, Trade confirmations and all other communications to the email id mobile number as provided by me/us during account opening my/ our trading account with FIIPL or modified subsequently and . I/We agree and confirm to receive Electronic Contract Notes and all the communication related to commodity derivatives segment (including futures and options trading to the extent permissible) also to the same registered email id and mobile number already registered with FIIPL and not in physical mode.
- b. At the time of opening trading account with FIIPL, running account authorization letter was provided for settlement purposes. I/We agree and give consent that the same running account authorization letter already provided shall hold good and applicable for trading in commodity derivative segment.
- c. A Power of Attorney ("POA")/DDPI was executed at the time of opening trading account in favor of FIIPL as the stock broker and FIIPL as the depository participant for the purpose of facilitating execution of instructions, orders or requests and/or handling margin and settlement obligations in respect of transactions made through the stock broker. I/We agree and give consent that the powers and instructions given to FIIPL by virtue of the said POA/DDPI shall also extend to the purposes of transactions in relation to trading in commodity derivative segment. I/We further agree and confirm that, for any product/facility/service in connection with trading and investment related services for the commodity derivatives segment (including futures and options in securities to the extent permissible) set out in the AOF, FIIPL shall be entitled to access, operate and transfer (pay-in and pay-outs) my/ our beneficial owner account(s) designated by me/ us for facilitating transactions contemplated hereunder.
- d. I/We confirm having read/been explained and understood the contents of the Mandatory Rights & Obligations of Members, Risk Disclosure Document, Guidance Notes-do's and dont's, documents on policy and procedures of FIIPL and Tariff sheet as below.
- e. I/We further confirm that I/We have read and understood the contents of Rights & Obligations of Members; Authorised Persons and Clients; Risk Disclosure Document; Guidance Notes-Do's and Dont's for the clients as prescribed by MCX.
- f. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform FIIPL of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Sign here:  \_\_\_\_\_

**FIPL****TARIFF SHEET, POLICY & PROCEDURE****Tariff sheet & Policy & Procedure Link**

Voluntary / Mandatory	Name of the Document	Brief Significance of the Document
<b>LIST OF MANDATORY DOCUMENT</b>		
<b>Mandatory</b>	Rights and Obligations	Document stating the Rights & Obligations of commodity broker / trading member, Authorized Person and client for trading on exchanges.
<b>Mandatory</b>	Risk Disclosure Document (ROD)	Document detailing Risks associated with dealing in the commodity market.
<b>Mandatory</b>	Guidance note	Document detailing do's and don'ts for trading on commodity exchange, for the education of the investors.
<b>Mandatory</b>	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodities exchange
<b>Mandatory</b>	Declaration	FATCA & CRS Declaration
<b>Mandatory</b>	Acknowledgement receipt for the various document	Acknowledgement of receipt KYC ,Mandatory Rights & Obligations of Members, Risk Disclosure Document, Guidance Notes-do's and dont's, documents on policy and procedures of FIPL and Tariff sheet as below.
<b>LSIT OF VOLUNTARY DOCUMENT (Please ✓ Tick &amp; provide your consent for voluntary documents listed below.)</b>		
Voluntary <input type="checkbox"/>	General Information	General information such as the fact that cash transactions are strictly prohibited, details of margin deposits, introducer information, and In-personal verification.
Voluntary <input type="checkbox"/>	Mandate for maintaining the account of running account basis	Document authorizing Member to maintain running account, to send documents through E-Mail, to send SMS, as well as debit DP Charge to trading Account
Voluntary <input type="checkbox"/>	MITC	Most Important Terms & Conditions (MITC)
Voluntary <input type="checkbox"/>	CONSENT	Consent for sharing Aadhaar Details with SEBI/KRA Agencies
Voluntary <input type="checkbox"/>	CONSENT	Consent for Trading in Commodity Option Contract on Commodity Derivatives
Voluntary <input type="checkbox"/>	Authorisation	Authorisation on orders and trade slips
Voluntary <input type="checkbox"/>	Consent for alerts	Consent form for receiving trade alerts through SMS OR E-MAIL
Voluntary <input type="checkbox"/>	Authorisation	Authotisation of Contract Notes and other documents in digital mode
Voluntary <input type="checkbox"/>	Suitability Assessment Questionnaire	Document Assessing the suitability of client Declaration
Voluntary <input type="checkbox"/>	Declaration	Open Interest Declaration
Voluntary <input type="checkbox"/>	Authorisation	Letter of authorization to Pledge / Re-Pledge the securities with Clearing Member / Clearing Corporation / Trading Member
Voluntary <input type="checkbox"/>	Declaration	MCX-Self-Declaration on Categorization of Participant in Commodity Derivatives
Voluntary <input type="checkbox"/>	Demat Debit & Pledge Instruction (DDPI)	Document authorizing the Member for transfer of securities towards deliveries/settlement obligations and pledging / re-pledging of securities/commodity
Voluntary <input type="checkbox"/>	Investor Charter	Provide transparent, equitable and reliable markets with timely and accurate information dissemination for investors.
Voluntary <input type="checkbox"/>	Freeze or Block Trading Account	Facility of voluntary freezing/blocking allows clients to temporarily disable their trading accounts, preventing any new trades
<input type="checkbox"/>	SELECT ALL	Use the "Select All" button to easily select all voluntary documents. This will automatically tick all the checkboxes in the document list.

For detailed information on various documents related to your account such as (Rights & Obligations, Risk Disclosure Documents, ECN, Guidance Notes, Do's & Don'ts, Policies & Procedures, FATCA & CRS etc.). Please click on the link given below:-

**Notes:**

If the above consent needs to be changed, the client will need to open an offline account. To open an offline account, please contact the nearest branch or head office of the company.

Registered Office Address: 2nd Floor, Shukla Palace, Sapru Marg, Lucknow 226 001

Contact Number: 0522:4052826



I have read and accepted All the Terms and Conditions

UCC Code: \_\_\_\_\_

Sign here:

Name: \_\_\_\_\_