



FAIR INTERMEDIATE INVESTMENT PRIVATE LIMITED

POLICY FOR UNAUTHENTICATED NEWS CIRCULATION

1. This policy has been made pursuant to the Circular Cir/ISD/1/2011 Dated March 23, 2011 and its addendum & shall be known as policy for unauthentic news circulation
2. The Committee means Committee duly constituted under the supervision of the Compliance officer of the company & headed by the Compliance officer.
 - a. Mr. Nalin Mohan Rastogi (Compliance officer)
 - b. Mr. Amit Agarwal (IT Manager)
 - c. Mr. Kuldeep Trivedi (DP Head)
3. This policy is to ensure that no unauthentic news, rumours can be circulated by the employees of the member.
4. All employees shall follow the code of conduct in this regard provided to them
5. All employees shall abide by the check points of internal control policy of the member
6. No employee of the company shall share/forward any unauthentic news which has a detrimental effect on that scrip/ security to which it is related, whether received in their personal mail or official mail, through blogs/chat forums/e-mail etc unless approved for circulation by the above mentioned committee.
7. Logs of any such communication shall be maintained
8. The approval shall not be oral or indicative; it must be in written form
9. All employee shall attend the regular training programs conducted by the member at regular intervals/ due intimation through official/ departmental circulars.
10. If an employee fails to do so as stated in para 6 above, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for action by the member & later on by authorities. The members of above said committee and Compliance Officer shall also be held liable for breach of duty in this regard.

This policy is made and effective on and from **01st April 2018**,

For **Fair Intermediate Investment Pvt. Ltd**