# FIIPL FATCA & CRS DECLARATION (FOR INDIVIDUAL)

**Note -** *The information in this section is being collected in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962.*

For more information refer: http://www.incometaxindia.gov.in/dtaa & http://www.oecd.org

**Part A** (All fields are mandatory)

**Section I**

| Particulars | Details of Account Holder |
|---|---|
| **Client Code / Demat A/c Number / PAN** | |
| **Name of Account Holder** | |
| **Type of Address given at KYC KRA** (Please Tick ✓) | (a) Residential ☐ (b) Business ☐ (c) Registered Office ☐ |
| **Country of Citizenship*** | |
| **Country of Birth*** | |
| **Place within the country of Birth** | |
| **Country of Tax Residence*** | |
| **POA granted to a person outside India** | Yes ☐ No ☐ *(if yes, please give detail in Section II)* |
| **Address or telephone number outside India** | Yes ☐ No ☐ *(if yes, please give detail in Section II)* |

**** If your answer is other than 'INDIA', please fill Section II of the form, else go to declaration & acknowledgment***

**Section II - Other information (Please fill in BLOCK LETTERS)**

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

| Country of Tax residency | Tax identification No | Tax identification document (TIN or functional equivalent) |
|---|---|---|
| | | |
| | | |
| | | |

*It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below:*

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## Declaration & Acknowledgement

I have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read along with the FATCA & CRS Instruction) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform FIIPL for any modification to this information promptly, i.e., within in 30 days. I further agree to abide by the provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI).

| | | | |
|---|---|---|---|
| **Customer Signature** | | Date | |

## Terms and Conditions

The CBDT has notified Rules 114F to 114H, as part of the Income Tax Rules, 1962, which require Indian Financial Institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be rquired to provide information to any institutions such as withoholding agents for the purpose for ensuring appropriate withholding from the folio (s)or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attached with this form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

| FATCA/ CRS Indicia observed (ticked) | Documentation required for Cure of FATCA/ CRS indicia |
|---|---|
| U.S. place of birth | 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;<br>**2.** Non-US passport or any non-US government issued document **evidencing nationality or citizenship** (refer list below); **AND**<br>3. **Any one** of the following documents:<br>a. Certified Copy of "Certificate of Loss of Nationality or<br>b. Reasonable explanation of why the customer does not have such a certificatedespite |
| Residence/mailing address in a country other than India | **1.** Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and**<br>2. Documentary evidence (refer list below) |
| Telephone number in a country other than India | ***If no Indian telephone number is provided***<br>**1.** Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and**<br>2. Documentary evidence (refer list below)<br>***If Indian telephone number is provided along with a foreign country telephone number***<br>**1.** Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; **OR**<br>2. Documentary evidence (refer list below) |
| Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts) | **1.** Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; **and**<br>2. Documentary evidence (refer list below) |

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*** Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**